

Financial Institutions

Business Administration 410

Section: D100

Term: 1999 Fall

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Discussion Topics: Course Objectives:

This course introduces financial institutions and their activities in capital markets.

Course Coverage:

1. The importance of a financial system and difficulties that may affect its efficiency.
2. Analysis of the four segments of the Canadian financial industry, i.e. banks, trust companies, security/investment dealers and insurance companies.
3. Examination of each sector's major products, structure, and competitive position as well as the performance of the major players.
4. Major investment management issues facing each of the four sectors of the industry.
5. The impact of globalization of financial markets.
6. An introduction to more recently developed financial products, including interest rate swaps.

Course Organization:

Each student is to hand in two questions concerning each lecture and case. These questions are due in the instructor's office at least one hour before the start of the lecture. The purpose of these questions is to focus attention on areas in the course materials that need further explanation, and to ensure that the students have reviewed the material prior to coming to class.

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Students are required to organize themselves into groups of four or five for the purpose of the case write-ups. Each group will be assigned two cases. The case write-up will consist of two single-spaced typed pages of prose, with additional handwritten or typed exhibits, as appropriate. The write-ups will usually take the form of a memorandum to one of the parties described in the case; more guidance will be provided the week before each case is scheduled.

Grading: 10% Participation

10% Prepared Questions

20% Midterm Exam

30% Tutorial Assignments & Case Write-ups

30% Term Project

100%

Required Texts: Saunders & Thomas; Financial Institutions Management, 1st Cdn ed, McGraw-Hill: 1997

Recommended Texts:

Materials/Supplies: Case Package, sold through S.F.U. Bookstore.

Prerequisite/Corequisite: BUS 315; 60 credit hours. BUS 316 requirement waived for 99-3.

Notes:

This outline is derived from a course outline repository database that was maintained by SFU Student Services and the University's IT Services Department. The database was retired in 2014 and the data migrated to SFU Archives in 2015.